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Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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JUL 03 2001

**TRANSMITTAL
FORM**


(to be used for all correspondence after initial filing)

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/814,673	
	Filing Date	March 22, 2001	
	First Named Inventor	Matthew Murasko	
	Group Art Unit	2875	
	Examiner Name	Not Assigned	
Total Number of Pages in This Submission	21	Attorney Docket Number	391133


ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input checked="" type="checkbox"/> Assignment Papers (for an Application) <input checked="" type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <p style="text-align: center;">Certificate of Mailing Return Post Card</p>		
<table border="1" style="width: 100%;"> <tr> <td style="width: 30%;">Remarks</td> <td></td> </tr> </table>			Remarks	
Remarks				
Combined Declaration & Power of Attorney Copy of Notice to File Missing Parts of Nonprovisional Application				

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Peter C. Knops Lathrop & Gage
Signature	
Date	July 3, 2001

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as express mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: July 3, 2001			
Typed or printed name	Brandi Chelette		
Signature		Date	July 3, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 105

Complete if Known

Application Number	09/814,673
Filing Date	March 22, 2001
First Named Inventor	Matthew Murasko
Examiner Name	Not Assigned
Group / Art Unit	2875
Attorney Docket No.	391133

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit
Account
Number

12-0600

Deposit
Account
Name

Lathrop & Gage LC

- ☒ Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17
☒ Applicant claims small entity status.
See 37 CFR 1.27

2. ☒ Payment Enclosed:

- ☒ Check ☐ Credit card ☐ Money
Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1)

(\$) 0

2. EXTRA CLAIM FEES

Total Claims		-20	=	0	X	Fee from below	=	0
Independent Claims		-3	=	0	X		=	0
Multiple Dependent					X		=	0

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$) 0

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	65
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	130	123	130	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$) 105

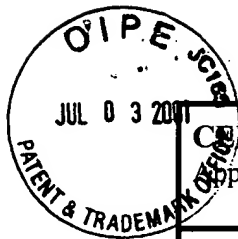
SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Peter C. Knops	Registration No. Attorney/Agent)	37,659	Telephone	(816) 460-5826
Signature				Date	July 3, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



07-05-01

Sector \$

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): Matthew Murasko and Clarence H. Duttlinger, Jr.

Matter No.

391133

Serial No.	Filing Date	Examiner	Group Art Unit
09/814,673	March 22, 2001	Not Assigned	2875

Invention: Electroluminescent Sign

I hereby certify that this: Transmittal Form (1 page); Submission of Missing Parts (2 pages); Copy of the Notice to File Missing Parts of Nonprovisional Application (2 pages); Combined Declaration and Power of Attorney (5 pages); Recordation Form Cover Sheet -- Patents Only (2 pages); Assignment (4 pages); Substitute Drawings (3 pages); Red-lined Drawing (1 Page); Fee Transmittal For FY 2001 (1 page); Check For \$105.00; Certificate of Mailing by Express Mail (1 page); and return post card are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on this 3rd day of July, 2001.

Brandi Chelette

Name of Depositor

Signature of Depositor

EL846918670US

Express Mail Label No.



PATENT

Attorney Docket No.: 391133

Express Mail Label No.: EL846918670US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Matthew Murasko and
Clarence H. Duttlinger, Jr.

Examiner: Not Assigned

Serial No. 09/814,673

Group Art Unit: 2875

Filed: March 22, 2001

For: Electroluminescent Sign

SUBMISSION OF MISSING PARTS AND REMARKS

BOX: MISSING PARTS

Assistant Commissioner for Patents

Washington, D.C. 20231

Dear Sir:

In response to the Notice of Missing Parts dated May 3, 2001 (copy appended), enclosed
herewith find the following:

1. Combined Declaration And Power of Attorney
2. Recordation Form Cover Sheet -- Patents Only
3. Assignment
4. Substitute Drawings (Figs. 1-4) which meet the margin requirements set forth in 37 CFR 1.84(g). The substitute sheets contain no new matter.

CERTIFICATE UNDER 37 C.F.R. § 1.8 and § 1.10

I hereby certify that, on the date shown below, this correspondence is being

- ☒ deposited with the United States Postal Service in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231 with sufficient postage:

MAILING

- ☐ **37 C.F.R. § 1.8**
as first class mail.

- ☒ **37 C.F.R. § 1.10**
as "Express Mail Post Office to Addressee"
EXPRESS MAIL NO. EL846918670US

FACSIMILE TRANSMISSION

- ☐ transmitted by facsimile to the Patent and Trademark Office.

Brandi Chelette
Name of Depositor


Signature

July 3, 2001
Date

5. A red-lined drawing (Fig. 4) showing the additions
6. Fee Transmittal For FY 2001
7. Check for \$105.00
8. Transmittal
9. Certificate of Mailing
10. Return Post Card

REMARKS

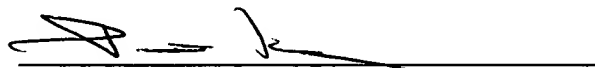
In response to the Notice of Missing Parts, substitute drawing sheets are submitted herewith. The drawings have been revised to be in compliance with 37 CFR 1.84(g). Further, Applicant has amended the drawings to conform to the language in the Specification. Particularly, Applicant has amended Fig. 4 to disclose the opening through which the connector receives the male end of the electroluminescent lamp. This amendment is supported in the specification, specifically at page 10, line 26 through page 11, line 8. Both formal and red-line drawings are enclosed depicting the changes made to the drawings.

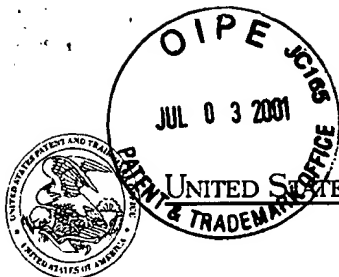
If any fee has been inadvertently overlooked, please charge deposit account number 12-0600.

The Examiner is encouraged to contact the undersigned if prosecution of the application may be expedited thereby.

Respectfully submitted:

LATHROP & GAGE LLC


Peter C. Knops, Reg. No. 37,659
2345 Grand Boulevard, Suite 2800
Kansas City, MO 64108
Tel No: 816/460-5826
Fax No: 816/292-2001



UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/814,673	03/22/2001	Matthew Murasko	391133

CONFIRMATION NO. 7663

FORMALITIES LETTER



OC00000006036591

 LATHROP & GAGE, LC
 Suite 2800
 2345 Grand Boulevard
 Kansas City, MO 64108

Date Mailed: 05/03/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

07/09/2001 BSAYASII 00000195 09814673

01 FC:205

65.00.00

*A copy of this notice **MUST** be returned with the reply.*

5/11/01
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE